

Year: \_\_\_\_\_

### Registration form - Violation against the principles of TG Group - Code of Conduct

date: \_\_\_\_\_

submitted by: \_\_\_\_\_

description of violation

Preliminary actions

Recommendation - measurements to prevent similar violations in the future

Termination of measurements

Yes

on: \_\_\_\_\_  
date

No

Termination till: \_\_\_\_\_  
date

\_\_\_\_\_  
date, signature department manager

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Processing by compliance officer

ongoing Nr. \_\_\_\_\_

Impact of the violation

\_\_\_\_\_  
date, managing director

\_\_\_\_\_  
date, compliance officer

CAF01

REV1